

Regional Swine Conference

**Common Swine Industry Audit
... Into the Audit**

February 23-26, 2015

Train has left the station ...



- Are you prepared?
- What is needed?
- How can you prepare?

... in it for the long haul

Objectives for today ...

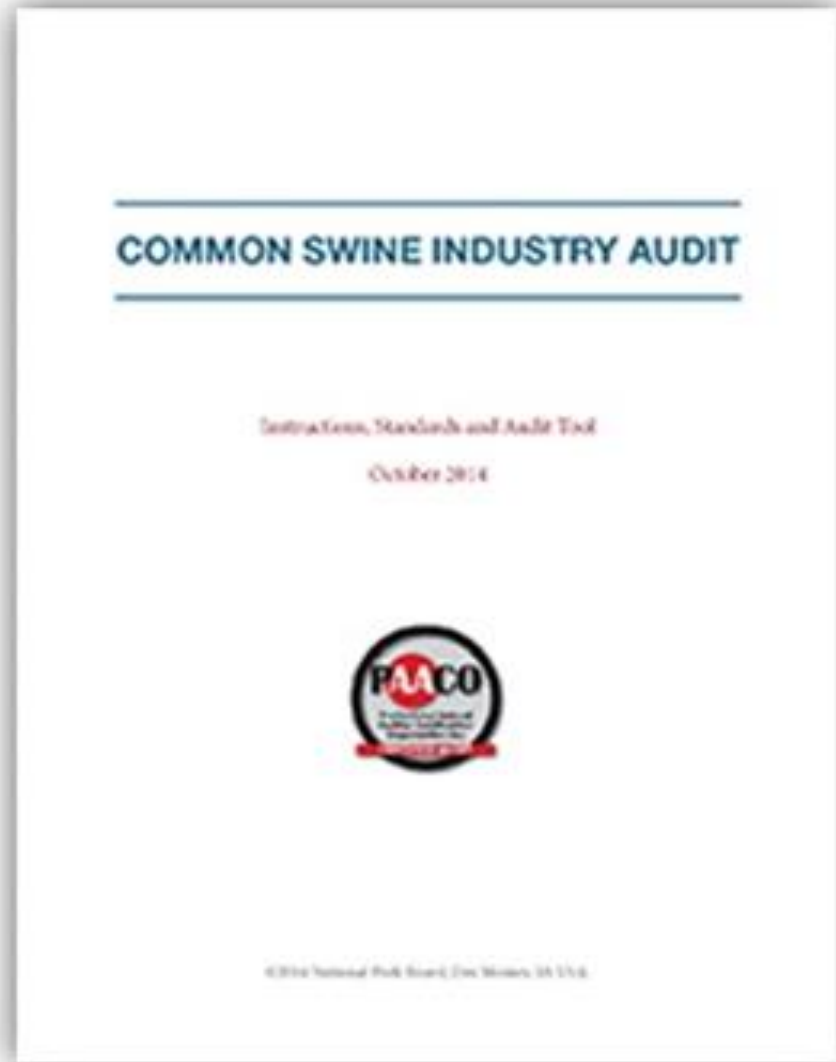


- Create awareness of audit tool
- Discover the audit scoring mechanisms for benchmark determination
- Nonconformance
- Identify resources and sourcing help

Into the ...

“An initiative led by producers and packers working together to enhance animal care and pork safety”

- Sherrie Webb



Category	W-F Unit Points Possible	Sow Unit Points Possible	Acceptable Score?
Animal Welfare	372	424	PQA Plus 85% TQA 90%
Animal/Benchmark	185	230	
Caretaker	30	35	
Facilities	50	50	
Records	32	34	
Transport	75	75	
Food Safety	33	33	
Total	405	457	

85% and 90% are examples ...

The minimum acceptable score will be set by the marketplace !

Animal Welfare

Category: Critical

Number	Question	Possible Points	Score	Observation/ Comments
1	Were any willful acts of abuse observed during the audit?	Pass/Fail	0	
2	Are animals euthanized in a timely manner?	Pass/Fail	0	
3	If euthanasia is observed, are animals handled humanely during the process?	Pass/Fail/"NO"	0	
4	If euthanasia is observed, are animals euthanized in place or is suitable equipment available to move non-ambulatory animals so they can be humanely euthanized?	Pass/Fail/"NO"	0	
5	If euthanasia is observed, do caretakers confirm insensibility and death after the euthanasia method is applied and before being removed from the facility?	Pass/Fail/"NO"	0	

Confirming insensibility – Insensibility should be checked within 30 seconds after the method is administered and should be monitored and maintained until death. **Ineffective stunning and euthanasia can be recognized by the presence of one or more of the following signs:**

- Rhythmic breathing
- Constricted pupils
- Attempts to raise the head (righting reflex)
- Vocalization
- Palpebral reflex (run finger along the eyelash and if the pig blinks or moves its eye, the pig is sensible)
- Response to a painful stimulus (such as a nose prick with a needle)

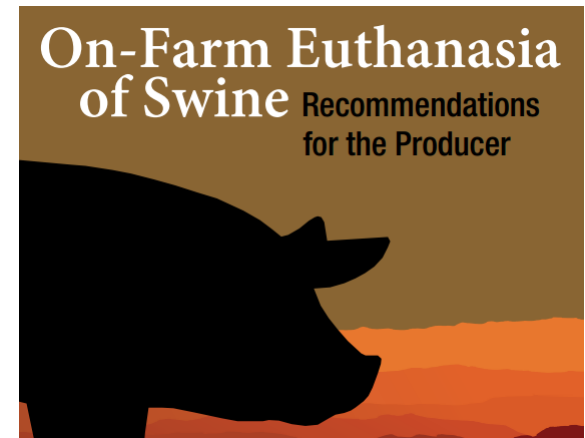


pg. 15

Confirming death – The pig should be confirmed dead before it is moved for disposal. All the following vital signs should be checked 3 minutes after the euthanasia method has been applied:

- No breathing
- No heart beat
- No movement or muscle tone
- No response to painful stimulus (such as a nose prick with a needle)
- No vocalization
- No corneal reflex (the eye blinks when an object touches the cornea)

If the pig shows any of these signs, a backup euthanasia method should be used.



pg. 15

Audit Question Point Values: 10, 5, 2 or 1

Animal Care Internal Audit Report

Category	No. Questions	W-F Unit Points Possible	No. Questions	Sow Unit Points Possible	Percent of Total Points
Animal Welfare		372		424	
Critical	5		5		
Animal/Benchmark	22	185	28	230	50.3%
Caretaker	6	30	7	35	7.7%
Facilities	10	50	10	50	10.9%
Records	16	32	17	34	7.4%
Transport	9	75	9	75	16.4%
Food Safety	13	33	13	33	7.2%
Total	81	405	89	457	

Focus is on the pig

CSIA pg. 14 to 17

Animal Benchmarks

- Space Allowance
- Body Condition Score
- Severe Lameness
- Scratches longer than 12 inches
- Abscesses
- Deep wounds
- Tail biting lesions
- Prolapses
- Shoulder sores (breeding only)
- Hernias (non-breeding only)
- Vulva injuries (breeding only)

Prepare your staff ...

CSIA pg. 10

Several audit questions, particularly those related to caretaker training, may require the auditor to interview caretakers. Information is best gathered from the caretaker by using a conversational process and asking open-ended “who”, “what”, “when”, “where”, “how”, and “why” questions. Examples of open-ended interview questions are:

- What tasks are you responsible for each day?
- What training did you receive to perform this task?
- How do you perform this task?
- When did this animal first receive treatment and how do you know?

Caretaker Training

CSIA pg. 20

- **Caretakers must receive and be able to articulate** training specific to their daily duties as detailed in the farm's written SOP's and receive retraining annually.
- **All caretakers must be PQA Plus Certified** within 6 months of employment and maintain certification while employed.
- **Trained caretakers should be able to articulate** the farm's method of euthanasia for which they are responsible, handling methods used during euthanasia, confirmation of insensibility and death, carcass disposal, and cleaning and maintenance of equipment and/or supplies.

Written SOP's

CSIA pg. 22

Checklist
1 of 3

- Euthanasia
- Feeding and watering protocols
- Daily observation
- Treatment management
- Biosecurity
- Animal handling
- Piglet processing
- Needle usage
- Caretaker training
- Rodent control

Manuals and SOP's can be in paper or electronic form but need to be accessible at the farm.

Records ... easy access to:

- Evidence of TQA certification for transporters
- Records of testing of emergency backup equipment
- Evidence of Employees PQA Plus certification (> 6 months)
- Evidence of annual training of caretakers - daily duties
- Written policy for zero tolerance for animal abuse
 - Mechanism for farm employees to report animal abuse
- Evidence of internal audits

Records ... easy access to:

- 12 months of daily observation logs
- Treatment and medication records
- Site records of recorded mortalities
- Evidence of a valid VCPR
- Evidence of compliant feed and medication records
 - are they being retained for 12 months
- If applicable, are there VFD records and are compliant

Site Assessments

- **PQA Plus Site Status achieved every three years**
- **Internal site assessments or audits** of the facility, animals, caretakers, and procedures must be conducted by the production management team including supervisors, site managers, or other internal animal welfare auditors. These **Internal site assessments or audits must be conducted**
 - **at least quarterly on sow farms**
 - **semi-annually on nursery and finishing farms.**

CORRECTIVE ACTION REPORT

Site ID: _____ Audit Date: _____
Facility Representative: _____ Rep Phone: _____
Auditor: _____ Auditor Phone: _____

Nonconformance Information

Description of nonconformance:

Description of nonconformance:

Response timeline from site visit

- 10 days - critical
- 30 day – all other

Corrective Action Information

Root cause:
(describe why the non-conformance occurred)

Root cause:

(describe why the nonconformance occurred)

Corrective Action to be taken:
(describe how the root cause of the non-conformance will be addressed; include expected date of completion.)

Corrective Action to be taken:

(describe how the root cause of the non-conformance will be addressed; include expected date of completion.)

Facility Representative Signature: _____ Date: _____

Group Activity - CORRECTIVE ACTION REPORT

- Will there be a perfect audit?
- Need to complete a CORRECTIVE ACTION REPORT

Task:

Select and Address 1 of the 5 scenarios
using your own SOPs

Fill out the report form by:

1. Recording the chosen scenario's nonconformance
2. Complete the "Root Cause" section
3. Complete the "Corrective Action to be taken" section

Break into groups and take 5-10 minutes to respond

http://www.pork.org/



🎓 Online Training ✉ Contact ☎ 800-456-7675



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Transport Quality Assurance®

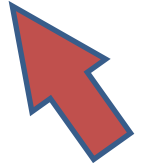
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WHAT DO S EAT?

IOWA STATE UNIVERSITY
Extension and Outreach

Resources on NPB website

<p>Willful Acts of Abuse</p> <p>Animal Welfare Policies English Spanish</p> <p>See It Stop It Poster English Spanish</p> <p>Humane Euthanasia</p> <p>Written Euthanasia Action Plan English Spanish</p> <p>Humane On-Farm Euthanasia English Spanish</p> <p>Humane On-Farm Euthanasia English and Spanish</p> <p>Euthanasia Blunt force Trauma English Spanish</p> <p>Euthanasia CO2 Standard Operating Procedure English Spanish</p> <p>Euthanasia Penetrating Captive English Spanish</p> <p>Animal Handling</p> <p>Safe Pig Handling Tool English and Spanish</p> <p>TQA – Lesson 2 Page 12 – 17 English</p>	<p>Space Allowance</p> <p>Sows and Space English Spanish</p> <p>PQA Plus GPP 9 page 68 English Spanish</p> <p>Body Condition Score</p> <p>PQA Plus GPP 9 page 67 English Spanish</p> <p>Lameness</p> <p>Identifying, Treating, and Preventing English Spanish</p> <p>Lesion Scoring</p> <p>PQA Plus GPP 9 page 69 English Spanish</p> <p>Treatment Management</p> <p>Farm Medication Plan English Spanish</p> <p>Medicated Feed Mixing Record English Spanish</p> <p>Pen Individual Treatment Record English Spanish</p>	<p>Thermal Comfort/Air Temperature</p> <p>PQA plus GPP 9 page 64 English Spanish</p> <p>Transport/ Load-out</p> <p>Transport Quality Assurance@ TQA Lesson 4 Page 25 English</p> <p>Air Quality</p> <p>PQA plus GPP 9 Page 66 English Spanish</p> <p>Emergency Backup System</p> <p>PQA plus GPP 9 Page 64 English Spanish</p> <p>Power Failure Test Record- Alarm System English Spanish</p> <p>Power Failure Testing – Backup Generator English Spanish</p> <p>Power Failure Testing – Emergency Generator English Spanish</p> <p>Written Euthanasia Plan</p> <p>Written Euthanasia Action Plan</p>	<p>Animal Care/Abuse policy and Reporting</p> <p>Animal Welfare Policies English Spanish</p> <p>See It Stop It Poster English Spanish</p> <p>Whistle Blower Policy English</p> <p>Piglet Processing Procedures</p> <p>Tail Docking Standard Operating Procedure English</p> <p>Castration Standard Operating Procedure English</p> <p>Facilities</p> <p>PQA Plus GGP 9 Page 66 English Spanish</p> <p>Site Assessments</p> <p>PQA Plus Site Assessment Guide</p> <p>Daily Observation records</p> <p>Daily Observation Record English Spanish</p> <p>Pork Safety</p>
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(Company) does not allow mistreatment of animals. All animals are to be treated with utmost respect and care. Any employee who mistreats an animal will be terminated immediately and without notice. Any individual who witnesses the mistreatment of animals by another individual is also subject to termination unless he/she reports the mistreatment to **(Company)** during that current working day or by calling the confidential toll-free number that has been posted for this purpose. **(Company)** reserves the right to press criminal charges against employees who mistreat animals.

The mistreatment of an animal is defined as hitting, inappropriate electrical prodding, kicking, or performing any other action that may cause undue stress or pain to the animal. Mistreatment also includes the beating or hitting of non-ambulatory or “downer” pigs.

A pig that is ill or injured and does not respond to treatment or show improvement after three (3) consecutive days should be euthanized. Euthanasia of animals is to be in accordance with standard company procedures. If you are unsure about what constitutes correct and humane animal care, it is your responsibility to seek assistance and guidance from a supervisor.

By signing this form, I **(Employee)** am stating that I understand these procedures and will abide by this policy. If I do not abide by the policy, I understand that my employment will be terminated and I will face possible criminal charges.

Employee Signature: _____ Date: _____

Witness: _____ Date: _____

Q5: Euthanasia - insensibility and confirmation of death



- Pigs – Are the focus
(high % of points)

- People – need to Articulate



- Records
- SOP's – low hanging fruit
(easy points)

CSIA - Summary

- **Audit Score –**

The minimum acceptable score will be set by the marketplace !

Animal Care Internal Audit Report				
Site ID			Auditor	
Audit Date				
Category	W-F Unit Points Possible	Sow Unit Points Possible	Points Achieved	Percent
Animal Welfare	372	424	0	0.0%
Animal/Benchmark	185	230	0	0.0%
Caretaker	30	35	0	0.0%
Facilities	50	50	0	0.0%
Records	32	34	0	0.0%
Transport	75	75	0	0.0%
Food Safety	33	33	0	0.0%
Total	405	457	0	0.0%
Number of Critical Failures				0



Prepare for Common Industry Audit

- **Nonconformance = Corrective Actions**

Response timeline from site visit

- 10 days - critical
- 30 day – all other

Questions ...

